International Division

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-505-B)

In re A	Application of:)	
	Progulske-Fox)	
Serial	No.: 09/980,845) Examiner:)	Not Assigned
Filed:	August 4, 2000) Group Art U)	nit: Not Assigned
For:	Microbial Polynucleotides Expressed During Infection of a Host)))	RECEIVED
	_	•	- 5 JUL 2006
	ssioner for Patents ox 1450		Legal Staff

TRANSMITTAL LETTER

- 1. We are transmitting herewith the attached papers for the above-identified patent application:
 - a) Trańsmittal letter (in duplicate)
 - b) Request for Consideration of Petition Under 37 CFR \$1.181(a):
 - c) Petition to Withdraw Holding of Abandonment Under 37 CFR §1.183:
 - d) Petition for Revival of Abandoned Application Under 37 CFR §1.137(b);
 - e) Appendices A H

Alexandria, VA 22313-1450

- f) Return Receipt Postcard
- 2. GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 12, 2006.

Void date: 08/23/2006 CKHLOK SBASHEIR 00000003 132490 09980845 02 FC:2453 1500.00 CR M8/23/2006 SBASHEIR 00000003 132490

89980845⁵³

Dated: June 12, 20061462

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750.00 DA

M.W. Hilman, Ph.D.

Reg. No. 43,673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-505-B)

In re A	pplication of:)
	Progulske-Fox)
Serial I	No.: 09/980,845) Examiner: Not Assigned
	, ,) Group Art Unit: Not Assigned
Filed:	August 4, 2000)
	Microbial Polynucleotides Expressed During Infection of a Host) }

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

- 1. We are transmitting herewith the attached papers for the above-identified patent application:
 - a) Transmittal letter (in duplicate)
 - b) Request for Consideration of Petition Under 37 CFR §1.181(a);
 - c) Petition to Withdraw Holding of Abandonment Under 37 CFR §1.183;
 - d) Petition for Revival of Abandoned Application Under 37 CFR \$1.137(b):
 - e) Appendices A H
 - f) Return Receipt Postcard
- 2. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. **13-2490**. A duplicate copy of this sheet is enclosed.
- 3. **CERTIFICATE OF MAILING UNDER 37 CFR §1.8**: The undersigned hereby certifies that this Transmittal Letter and the paper described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 12, 2006.

Dated: June 12, 2006

By:

℃isa M.W. \aman, i Reg. No. 43,673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-505-B)

In re Application of:)	
)	
Prog	ulske-Fox)	
)	Examiner: Not Assigned
Serial No.:	09/980,845)	
)	Group Art Unit: Not Assigned
Filed:	August 4, 2000)	_
	9)	Conf. No. 3701
For: Micr	obial Polynucleotides Expressed)	
Duri	ng Infection of a Host)	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR §1.181(A); PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 CFR §1.183; AND PETITION FOR REVIVAL OF ABANDONED APPLICATION UNDER 37 C.F.R. §1.137(B)

This paper is filed in response to the Decision mailed on May 5, 2006 in the above-mentioned application. Applicants respectfully request reconsideration of the Decision on the Petition filed under 37 CFR §1.181(a) and furthermore, in the alternative, Petition the Director under 37 CFR §1.183. In the alternative, Applicants petition for revival of the application under 37 C.F.R. §1.137(b).

- I. A Background Section begins on page 2 of this paper.
- II. A Request for Reconsideration of Petition for Withdrawal of Holding of Abandonment Under 37 C.F.R. §1.181(a) begins on page 4 of this paper.
 - III. In the alternative, a Petition for Withdrawal of Holding of Abandonment Under37 C.F.R. §1.183 begins on page 6 of this paper.
 - In the alternative, a Petition for Revival of Abandoned Application Under 37C.F.R. §1.137(b) begins on page 8 of this paper.
 - V. A conclusion begins on page 9 of this paper.

I. Background

A notification of missing requirements was mailed on February 28, 2002 (Appendix A) in the above-mentioned application. A response to the notice was filed on March 27, 2002 under the provisions of 37 CFR §1.8 and a stamped postcard was received from the Office indicating that the response was indeed received. *See*, Appendix B. The response included the required declaration, a paper copy of the sequence listing, a computer-readable form of the sequence listing, a statement under 37 CFR §1.821, and a fee. *See*, Appendix B.

On June 12, 2002 a notification of defective response was issued by the Office. See, Appendix C. The notification stated that the computer readable form filed with the application was found to be damaged and/or unreadable as evidenced by the attached CFR Problem Diskette Report. No CFR Problem Diskette Report was attached. The notification also stated that the computer readable form did not comply with the sequence listing requirements. A Raw Sequence Listing Error Report was attached to the notification. A response was timely filed by the applicants on June 24, 2002 under the requirements of 37 CFR §1.8. A stamped postcard was received from the Office to evidence receipt. See, Appendix D. It was the undersigned's good faith belief that all errors listed in the raw sequence listing error report were corrected in the response of June 24, 2002. See, Appendix D.

Two and one half years later, on January 3, 2005, another notification of defective response was received from the Office. *See*, Appendix E. The notification stated that (1) the content of the computer readable form submitted on June 24, 2002 did not comply with the requirement of Annex C as indicated by the attached marked up copy of the "Raw Sequence Listing"; and (2) that the computer readable form was found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. However, no Raw Sequence Listing Error Report or Diskette Problem Report was attached to the notification.

On January 24, 2005 the undersigned requested the Raw Sequence Listing Error Report and/or Diskette Problem Report from Examiner Vonda Wallace via both phone and facsimile. See, Appendix F. No response was received from Examiner Wallace. Since no extensions of time were available to applicants, a response was filed on February 3, 2005 under the requirements of 37 CFR §1.8. A stamped postcard was received from the Office to evidence receipt. See, Appendix G. The response included an explanation of the situation, including the

fact that the notification of defective response was defective, and a written copy and computerreadable form of the sequence listing. See, Appendix G.

Well over a year later, on March 3, 2006, a notice of abandonment for failure to reply to the notification of missing requirements of February 28, 2002 was issued. *See*, Appendix H. Attached to the notification was a Raw Sequence Listing Error Report dated January 18, 2006. It is unclear which version of the sequence listing was used to generate this report or why a copy of the Raw Sequence Listing Error Report from the January 3, 2005 notification was never provided to the Applicants.

All replies have been filed within time periods set within the notifications. The Office has not alleged that the application has been abandoned based on an insufficiency of reply. See, MPEP §711.03(a). Applicants have made a good faith effort to timely and correctly respond to the notifications received from the Office despite the fact that the notifications were incomplete and/or inconsistent (i.e., missing reports or stating that the disk was damaged and/or unreadable and providing a report from a clearly readable disk) and a request for clarification went unanswered.

The sequence listing submitted on June 24, 2002 was substantially and overwhelmingly correct in-substance. It appears that there was only an extraordinarily minor defect, which was the use of the phrase "N stands for any polynucleotide" instead of "N stands for any nucleotide" in SEQ ID NO:4 to describe one of 23 "X" nucleotides in the 507 nucleotide long sequence. This defect was not detectable by Checker, the Office's validation software for sequence listings.

A corrected written copy and computer readable form of the sequence listing was submitted on March 16, 2006. It appears that this listing is error free and has been entered. Also submitted on March 16, 2006 was a petition to withdraw a holding of abandonment under 37 C.F.R. §1.181(a). On May 5, 2005 the Office issued a decision that denied the petition.

Applicants now petition for withdrawal of the holding of abandonment under 37 C.F.R. §1.181(a) or in the alternative 37 C.F.R. §1.183 or alternatively for revival of the abandoned application under 37 C.F.R. §1.137(b).

McDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 SOUTH WACKER DRIVE, 32ND FLOOR CHICAGO, IL 60606 TELEPHONE (312) 913-0001

II. Request for Reconsideration of Petition for Withdrawal of Holding of Abandonment Under 37 C.F.R. §1.181(a)

Under 37 C.F.R. §1.135 (a) if an applicant of a patent application fails to reply within the time period provided under §1.136(a) an application will become abandoned **unless an Office** action indicates otherwise. Additionally, under §1.135(c) when reply by the applicant is a *bona fide* attempt to advance the application to final action, and is substantially a complete reply to the non-final Office action, but consideration of some matter or compliance with some requirement has been inadvertently omitted, applicant may be given a new time period for reply under §1.134 to supply the omission.

When the Office provided the January 3, 2005 notification of defective response, any abandonment of the application as of September 20, 2002 was precluded because, as the Office properly recognized, the applicant made a *bona fide* attempt to advance the application with a substantially complete reply. The Office was wholly within its discretion to **not abandon** the application and to provide the Applicants with another opportunity to correct a very minor error in a sequence listing. The Office cannot now withdraw their acceptance of Applicants' June 24, 2002 response because it was accepted within their discretion.

Unfortunately, the January 3, 2005 notification of defective response was defective itself, which denied the applicants of the opportunity to provide a corrected sequence listing. The notification stated that (1) the content of the computer readable form submitted on June 24, 2002 did not comply with the requirement of Annex C as indicated by the attached marked up copy of the "Raw Sequence Listing"; and (2) that the computer readable form was found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. However, no Raw Sequence Listing Error Report or Diskette Problem Report was attached to the notification. It was therefore unclear as to the Applicants if the computer readable form (1) did not comply with the sequence listing rules **OR** (2) was damaged and unreadable.

Applicants did indeed try to clarify the situation. On January 24, 2005 the undersigned requested the Raw Sequence Listing Error Report and/or Diskette Problem Report from Examiner Vonda Wallace via both phone and facsimile. *See*, Appendix F. No response was received from Examiner Wallace. Since extensions of time were **not** available to Applicants, a response was filed on February 3, 2005. The sequence listing still contained a very minor error

MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 SOUTH WACKER DRIVE, 32ND FLOOR CHICAGO, IL 60606 TELEPHONE (312) 913-0001

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in SEQ ID NO:4, which was **not detectable** by Checker, the Office's validation software for Sequence Listings.

Since the January 3, 2005 notification of defective response was defective itself Applicants could not properly respond to the notification. The undersigned was unsure of whether there was an error in the sequence listing or if the disk was merely damaged. The Office did not respond to fax and phone requests for clarification. Therefore, Applicants filed a new sequence listing on February 3, 2005 with a (unknown to Applicants) very minor (and undetectable by Checker) error. Applicants have timely replied to every notification provided by the Office. The Office did not provide necessary information to the Applicants so that they could properly respond. Therefore, Applicants respectfully request that the holding of abandonment be withdrawn in this application.

McDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 SOUTH WACKER DRIVE, 32ND FLOOR CHICAGO, L. 60606 TELEPHONE (312) 913-0001

III. Petition for Withdrawal of Holding of Abandonment Under 37 C.F.R. §1.183

If the Office concludes that the holding of abandonment will not be withdrawn under 37 C.F.R. §1.181(a), Applicants petition for withdrawal of abandonment, in the alternative, under 37 C.F.R. §1.183.

37 C.F.R. §1.183 provides that in an extraordinary situation, when justice requires, any requirement of the regulations in this part which is not a requirement of the statutes may be suspended or waived by the Director or the Director's designee, *sua sponte*, or on petition of the interested party, subject to such other requirements as may be imposed. Applicants authorize the Commissioner to charge our deposit account 13-2490 a petition fee (under § 1.17(f)) of four hundred dollars (\$400).

The sequence listing submitted on June 24, 2002 was substantially and overwhelmingly correct in-substance. It appears that there was only an extraordinarily minor defect, which was the use of the phrase "N stands for any polynucleotide" instead of "N stands for any nucleotide" in SEQ ID NO:4 to describe one of 23 "X" nucleotides in the 507 nucleotide long sequence. This defect was not detectable by Checker, the Office's validation software for sequence listings. Justice requires that the response filed in 2002 be accepted because, in view of the extremely minor nature of the error, the result of abandonment is draconian.

Additionally, any abandonment as the result of the response to the January 3, 2005 Notice of Defective response should be withdrawn. The January 3, 2005 notice was defective itself and the Office did not respond to the Applicants' request for clarification. (See Section II, above). Since the defect in the sequence listing was not detectable by Checker and the Office did not provide a Raw Sequence Listing Report, the sequence listing was re-submitted on February 3, 2005 with the very minor error in SEQ ID NO:4 as described above. It is unclear if a Raw Sequence Listing Error Report was ever generated since the Report finally issued to Applicants on March 3, 2006, was actually generated on January 18, 2006.

Justice requires that the holding of abandonment be withdrawn in this application because of an extraordinary situation relating to the submission of the sequence listing in this application:

- Applicant submitted all responses in a timely manner;
- The submitted sequence listing contained an exceedingly minor error, which was not detectable by Checker;
- The Office acted within its discretion by issuing a notice of defective response on January 3, 2005 (and the application was therefore not abandoned on September 28, 2002).

- The January 3, 2005 notice of defective response was defective and it is not clear that a Raw Sequence Listing Error Report was ever generated at this time by the Office;
- The Office did not respond to requests for clarification of the January 3, 2005 notice of defective response;
- The Office excessively delayed in issuing the notice of defective response of January 2, 2005 and the notice of abandonment of May 30, 2006, unfairly prejudicing the Applicants.

If the Applicants petition under 37 C.F.R. §1.181(a) is denied, Applicants respectfully request that the holding of abandonment be withdrawn in the interests of justice under 37 C.F.R. §1.183.

IV. Petition for Revival of Abandoned Application Under 37 C.F.R. §1.137(b)

If the Director does not grant the petitions under 37 C.F.R. §1.183 or under 37 C.F.R. §1.181(a), Applicants respectfully alternatively petition for the revival of the application under 37 C.F.R. §1.137(b) because the abandonment of the application was unintentional. The reply to the outstanding notice (the sequence listing) was previously filed on March 16, 2006. It appears that the sequence listing is error free and has been entered. The Commissioner is authorized to charge our deposit account seven hundred fifty dollars (\$750) for the petition fee under 37 C.F.R. §1.17(m). The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant 37 C.F.R.§1.137(b) was unintentional.

Applicants dispute the alleged abandonment date of September 28, 2002. If any abandonment should apply¹, it should be a February 3, 2005 abandonment date, because the Office in its discretion issued a notice of defective response on January 3, 2005, which cannot now be rescinded.

Due to the fact that the date of abandonment of the application is under dispute at this time Applicants respectfully request a determination of the date of the abandonment of the application. Upon notification, Applicants will expeditiously submit a properly executed terminal disclaimer and fee as required under this section to the Office. The Office is invited to call the undersigned so that a Terminal Disclaimer can be signed and faxed to the Office.

Applicants respectfully request the revival of this application under 37 C.F.R. §1.137(b) if the first two petitions (see sections I and II of this paper) are denied.

McDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 SOUTH WACKER DRIVE, 32ND FLOOR CHICAGO, IL 80608 TELEPHONE (312) 913-0001

¹ It is Applicants' position, however, that the application should not have been abandoned at all.

V. Conclusion

Applicants respectfully request that the holding of abandonment be withdrawn under 37 C.F.R. §1.181(a). If the Office denies this request, Applicants respectfully request that the holding of abandonment be withdrawn under 37 C.F.R. §1.183. If the Office denies this request, Applicants respectfully request that the application be revived under 37 C.F.R. §1.137(b).

		Respectfully submitted,	
Dated:	Ву:	Lisa M.W. Hillman, Ph.D. Reg. No. 43,673	
		(217) 239-1962	

V. Conclusion

Applicants respectfully request that the holding of abandonment be withdrawn under 37 C.F.R. §1.181(a). If the Office denies this request, Applicants respectfully request that the holding of abandonment be withdrawn under 37 C.F.R. §1.183. If the Office denies this request, Applicants respectfully request that the application be revived under 37 C.F.R. §1.137(b).

Respectfully submitted,

Dated: 6-12-06

By:

Lesa M.W. Hillman, Ph.D.

Reg. No. 43,673 (217) 239-1962

Constituents for Patents, Box PCI United States, Patent and Tradament Office Washington, D.C. 2023 www.usec.com

	FIRST NAMED APPLICANT	ATTY	, DOCKET NO
u.s. application number no. 09/980,845	Ann Progulske-Fox	0	0-505-B
	, 	INTERNATIONAL API	PLICATION NO.
	_	PCT/US00/	21340
	Ĺ.	I.A. FILING DATE	PRIORITY DATE
lisa M.W. Hillman	<u> </u>	08/04/2000	08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

CONFIRMATION NO. 3701
371 FORMALITIES LETTER
OCO000000007521267

Date Mailed: 02/28/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Small Entity Statement

DOCKETED

MAR 0 8 2002 DUE DAȚE: 4/28/03

BY: K.B.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or am..... acid sequence disclosure contained in this application does notnply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO. INTERNATIONAL APPLICATION NO.		ATTY. DOCKET NO.
09/980,845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/905 (371 Formalities Notice)

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of)
) Group Art Unit: TBA
Progulske-Fox) Examiner: TBA
Serial No. 09/980,845) Atty, Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000) Intal. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

TRANSMITTAL LETTER

Asst. Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
 - a) Response to Notice to File Missing Parts;
 - b) Declaration and Power of Attorney;
 - c) Statement Under 37 C.F.R. § 1.821
 - d) Paper copy of Sequence Listing and Diskette copy;
 - e) Filing Fee Check;
 - f) Return postcard.
- 2. With respect to fees:
 - a) A check in the amount of \$65.00 is enclosed.
 - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on March 27, 2002.

Date: March 27, 2002

Lisa M.W. Hillman Registration No. 43,673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
••) Group Art Unit: TBA
Progulske-Fox	· ·
-) Examiner: TBA
Serial No. 09/980,845)
) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000)
) Intnl. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

The attached executed declaration is submitted in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed on February 28, 2002, in the above-mentioned case. Also enclosed is a paper copy of the sequence listing, a diskette containing the sequence listing and Statement Under 37 C.F.R. §1.821. A check for sixty-five dollars (\$65) for an oath or declaration surcharge is enclosed. It is believed that no further fee is due to make this filing complete; however, if a fee or credit is due the Commissioner is authorized to charge or credit our Deposit Account No. 13-2490.

Date: $\frac{3}{27}\sqrt{o}Z$

Respectfully submitted,

Registration No.: 43,673

1

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on 3/27/6.

Date: 3/27/02

Lisa M.W. Hillman

Case No.: 00-505-B

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

~.~·

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Microbial Polynucleotides Expressed During Infection of a Host

the specification of which is attached hereto unless the following space is checked:

was filed on November 15, 2001 as United States Application Serial Number 09/980,845.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	Country	Day/Month/Year Filed
1.	US00/21340	PCT	August 4, 2000
2			

2.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	Application Number	Filing Date
1.	60/147,551	August 6, 1999
_		

2.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

application and the national or PCT international filing date of this application.

<u>Application Number</u>

<u>Filing Date</u>

<u>Status: patented, pending, abandoned</u>

1.

2.

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

- 1 -

Customer Number: 020306

Principal attorney or agent: Lisa M.W. Hillman

Telephone number: 312-913-0001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

5 Jan 1997

Full name of first inventor

Ann Progulske-Fox

Inventor's signature:

6392 Country Read 214 S., Keystone Heights, Florida 32656
United States of America

Residence: Citizenship:

Post Office Address:

6392 Country Road 214 S., Keystone Heights, Florida 32656

Full name of second joint inventor. Martin Handfield Inventor's signature:

Residence:

10155 SW 52nd Road Gainesville, Florida 32608 Canada Canada

Citizenship: Post Office Address:

10155 SW 52nd Road, Gainesville, Florida 32608

: ... --:

Full name of third joint inventor: Jeannine L. Bradley

Inventor's signature:

13214 NW County Road 231, Gainesville) Florida 32609 United States of America 13214 NW County Road 231, Gainesville, Florida 32609 Residence:

Citizenship: Post Office Address: Full name of fourth joint inventor: Jeffrey D. Hillman

Date: 3/11/02 Inventor's signature: 6424 SW 26th Place, Gainesville, Florida 32608

Residence:

Citizenship:

United States of America 6424 SW 26th Place, Gainesville, Florida 32608 Post Office Address:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
) Group Art Unit: TBA
Progulske-Fox) Errominon TRA
Serial No. 09/980,845) Examiner: TBA
Scriat 140. 07/760,043) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000)
) Intnl. Appl. No.: PCT/US00/2130
Priority Date: Aug 6 1999	3

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

STATEMENT UNDER 37 C.F.R. §1.821

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed is a written copy and a computer-readable copy of the sequence listing in the above mentioned case. The information recorded in computer readable form is identical to the written sequence listing. No new matter is added by the sequence listing.

Respectfully submitted,

Date: 3-27-02

Lisa M.W. Hillman Reg. No. 43,673

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF 300 South Wacker Drive Chicago, IL 60606 (312) 913-0001

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on

-- <u>3-27-02</u> .

Date: 3-27-02

Lisa M.W. Hillman

SEQUENCE LISTING

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<110> Handfield, Martin
     Brady, Jeannine
      Progulske-Fox, Ann
      Hillman, Jeffrey D.
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. وديس چين

60

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JCOS Rec'd PCTATO O 8 APR 2002

Patents and Trademarks Hon. Commissioner of

S/N 09/980,845

Re: Applicant - Progulske-Fox, et al.

LMWH

Case No. 00-505-B

Microbial Polynucleotides Expressed During Infection Of A Host

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of

Transmittal Letter

Signed Declaration

Filing Fee Check

Other Response to Notice to File Missing Parts; Statement Under 3
Diskette and paper copy of sequence listing.

APR 8 2002

Fee Enclosed

\$ 65.00 March 27, 2002

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT United States Patent and Tradamark Office Wæshington, D.C., 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

09/980,845 Ann Progulske-Fox 00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

Lisa M.W. Hillman

McDonnell Boehnen Hulbert & Berghoff 08/04/2000 08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

CONFIRMATION NO. 3701
371 FORMALITIES LETTER

OC00000000008256428

Date Mailed: 06/12/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination
- Small Entity Statement

DOCKETED

DUE DATE: 6/28/02

BY: KG

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

 The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.		
09/980,845	PCT/US00/21340	00-505-B		

FORM PCT/DO/EO/916 (371 Formalities Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
) Group Art Unit: TBA
Progulske-Fox)) Examiner: TBA
Serial No. 09/980,845) Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000))) Intal. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999) .

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

TRANSMITTAL LETTER

Asst. Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
 - a) Response to Notice of Defective Response;
 - b) Written copy of sequence listing;
 - c) Computer Readable copy of sequence listing; and
 - d) Return postcard
- With respect to fees:
 - a) It is believed no fee is due at this time.
 - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on June 24, 2002.

Date: June 24, 2002

化isa M.W. Hillman

Registration No. 43,673

Respectfully submitted.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
· · · · · · · · · · · · · · · · · · ·	Group Art Unit: TBA
Progulske-Fox)	T
) Carried NI	Examiner: TBA
Serial No. 09/980,845)	Atty. Dckt. No.: 00-505-B
International Filing Date: Aug. 4, 2000	120,00000000000000000000000000000000000
)	Intnl. Appl. No.: PCT/US00/2130
Priority Date: Aug. 6, 1999	

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

RESPONSE TO NOTICE OF DEFECTIVE RESPONSE

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This paper is filed in response to the Notification of Defective Response mailed on June 12, 2002, in the above-mentioned case. It is believed that no fee is due in connection with this filing; however, if a fee is due please charge our deposit account number 13-2490.

Enclosed is a written copy and a computer-readable copy of the sequence listing in the above mentioned case. The information recorded in computer readable form is identical to the written sequence listing. No new matter is added by the sequence listing. Applicants respectfully request that the specification of the application be amended by

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231, on

Date: 6/24/07

Lisa M.W. Hillman

deleting the previously filed sequence listing and the entry of the enclosed sequence listing.

Respectfully submitted,

Date: 624/02

Lisa M.W. Hillman Reg. No. 43,673

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF 300 South Wacker Drive Chicago, IL 60606 (312) 913-0001

SEQUENCE LISTING

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      Hillman, Jeffrey D.
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Gly Arg Ile Asp Leu Val Ile Ser Gly Ile Asn Ala Gly Ala Asn Leu
Gly Asp Asp Val Leu Tyr Ser Gly Thr Val Ala Ala Ala Phe Glu Gly
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His Ala Gln Leu Leu Gly Lys His Glu Ile Leu Asn Ile Asn Val Pro
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                                                             160
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Lys Ala Thr Pro Ser His 50

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Fee Enclosed

Hon. Commissioner of Patents and Trademarks

S/N 09/980,845

LWWH

Case No. 00-505-B

Re: Applicant - Progulske-Fox

Microbial Polynucleotides Expressed During Infection Of A Host

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

 $\boxtimes \boxtimes$ Transmittal Letter

Other Response to Notice of Defective Response; Sequence listing (computer readable copy and written copy)

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

June 24, 2002

S/N 09/980,845 Hon. Commissioner of Patents and Trademarks

Case No. 00-505-B LMWH Atty

Microbial Polynucleotides Expressed During Infection Of A Host Re: Applicant - Progulske-Fox

Sir. Please place the Patent Office receipt stamp hereon and mall to acknowledge receipt of:

Transmittal Letter
 Other Response to Notice of Defective Response; Sequence listing (computer readable copy and written copy)

\$ -0-June 24, 2002

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

Fee Enclosed



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgina 22313-1450 www.mpto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 09/980,845

Ann Progulske-Fox

00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

I.A. FILING DATE 08/04/2000

PRIORITY DATE 08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

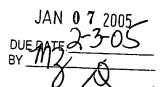
CONFIRMATION NO. 3701 371 FORMALITIES LETTER *OC000000008256428*

Date Mailed: 01/03/2005

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 11/15/2001
- Copy of the International Search Report filed on 11/15/2001
- Copy of IPE Report filed on 04/08/2002
- Information Disclosure Statements filed on 04/08/2002
- Biochemical Sequence Diskette filed on 04/08/2002
- Oath or Declaration filed on 04/08/2002
- Biochemical Sequence Listing filed on 04/08/2002
- Small Entity Statement filed on 11/15/2001
- Request for Immediate Examination filed on 11/15/2001
- Copy of references cited in ISR filed on 11/15/2001
- U.S. Basic National Fees filed on 11/15/2001



Applicant's response filed 04/08/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/28/2002 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/916 (371 Formalities Notice)



McDonnell Boehnen Hulbert & Berghoff Law Offices

URGENT

Fax transmittal

To Company Examiner Vonda M. Wallace

USPTO

Fax

703 746 6711

Phone

Pages, with cover

Re

From Direct January 24, 2005

Lisa M.W. Hillman, Ph.D 312 935 2371

Emeil

Date

CAN

hillman@mbhb.com

665/

Dear Examiner Wallace:

This paper is filed in response to the notice of defective response issued in the abovementioned application on January 3, 2005. The notice states that the content of the computer readable form of the sequence listing does not comply with the sequence listing requirements and states that a marked-up copy of the raw sequence listing is attached to the notice. However, the marked-up copy of the raw sequence listing was not attached to the raw sequence listing. Applicants respectfully request that a copy of the marked-up sequence listing be sent to the undersigned at the Office's earliest convenience.



McDonnell Boehnen Hulbert & Berghoff Law Offices

URGENT

Fax transmittal

Examiner Vonda M. Wallace USPTO 703 746 6711

1

January 24, 2005 Lisa M.W. Hillman, Ph.D 312 935 2371 Hillman@mbhb.com 665/ 6

Dear Examiner Wallace:

This paper is filed in response to the notice of defective response issued in the above-mentioned application on January 3, 2005. The notice states that the content of the computer readable form of the sequence listing does not comply with the sequence listing requirements and states that a marked-up copy of the raw sequence listing is attached to the notice. However, the marked-up copy of the raw sequence listing was not attached to the raw sequence listing. Applicants respectfully request that a copy of the marked-up sequence listing be sent to the undersigned at the Office's earliest convenience.

Lisa M.W. Hillman, Ph.D

300 South Wacker Drivo 312 913 0001 phone Chicago, Ulinois 60806-6709 312 913 0002 fax www.nbhb.com

Please notify receptionist at 312 913 0001 if all pages are not received. If you received this fax in error, please notify us immediately by phone (collect to strange for return of the document.

This transmittal is strictly for delivery only to the person listed above, it may contain confidential or privileged information, the disclosure of which is prohibited.

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Doc. pages

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388

Date 10p

: MCDONNETE BOEHNEN HAEBERT & BERGHOFF

Fax number: +3129130002

: 14N-54-02 15:28

9miT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-505-B)

in re A	pplication	n of:	
	Progulsk	e-Fox)) Examiner: To Be Assigned
Serial	No.: 0	9/980,845	Group Art Unit: To Be Assigned
Filed:	A	ugust 4, 2000) }
For:		al Polynucleotides Expressed nfection of a Host))
P.O. Bo	ssioner for l ox 1450 Iria, VA 223		AL LETTER
1.	We are tr	ransmitting herewith the attached pap	ers for the above-identified patent application:
	CAKAKAKAKA	Transmittal letter (in duplicate); Response to Notice of Defective R Copy of Notification of Defective R Paper copy of sequence listing; Diskette of sequence listing; Return Receipt Postcard	
2.	GENERA	AL AUTHORIZATION TO CHARGE O	R CREDIT FEES: Please charge any additiona

- GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 3, 2005.

Dated: February 3, 2005

Ву

Lisa M.W. Ruman, P Reg. No. 43,673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
) Group Art Unit: TBA
Progulske-Fox)) Éxaminer: TBA
Serial No. 09/980,845)) Atty. Dekt. No.; 00-505-B
International Filing Date: Aug. 4, 2000) Intnl. Appl. No.: PCT/US00/21340
Priority Date: Aug. 6, 1999)

For: MICROBIAL POLYNUCLEOTIDES EXPRESSED DURING INFECTION OF A HOST

RESPONSE TO NOTICE OF DEFECTIVE RESPONSE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is filed in response to the Notification of Defective Response mailed on January 3, 2005, in the above-mentioned case. It is believed that no fee is due in connection with this filing; however, if a fee is due please charge our deposit account number 13-2490.

The Notification of Defective Response stated that the computer readable copy was unreadable and also stated that errors found in the sequence listing were attached to the Notice. However, there was no attachment to the Notice. Applicants requested the attachment from Examiner Vonda Wallace on January 24, 2005 via phone and facsimile. However, the attachment to the Notice has not been received by Applicants. Applicants respectfully request that any errors in the sequence listing be brought to their attention at the Office's earliest convenience.

Enclosed is a written copy and a computer-readable copy of the sequence listing in the above mentioned case. The information recorded in computer readable form is identical to the written sequence listing. No new matter is added by the sequence listing. Applicants respectfully request that the specification of the application be amended by deleting the previously filed sequence listing and the entry of the enclosed sequence listing.

Date: 2/3/05

Respectfully submitted,

Lisa M.W. Hillman Reg. No. 43,673

MCDONNELL, BOEHNEN, HULBERT & BERGHOFF 300 South Wacker Drive Chicago, IL 60606 (312) 913-0001

SEQUENCE LISTING

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      Brady, Jeannine
      Progulske-Fox, Ann
     Hillman, Jeffrey D.
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 tggtcggttc gtccagaata tacagggttt tgcccgtatc ccgtttggag agttccgtcg 120
 ccagtttcac ccgttgcgct tccccgccgg acagggtggt agaggattgc cccaagcgaa 180
 tataagacaa gcccacgtca atcagggttt gcaatttacg cgcaatcatt ggaatggcat 240
 cgaaaaactc gcgcgcatct tccaccgtca tgtccagcac ctgatgaatg gttttacctt 300
 tgtagcggat ttccagggtt tcgcgattgt aacgcttgcc tttacattgg tcgcaaggca 360
 cgtacacate gggcaggaag tgcattteca ctttgattac gccgtegeec tggcaggett 420
 acagegeeeg cegegeaegt taaaaetgaa aegeeeeggg ttataaeege gegeaeggge 480
 tttcggtacg ccggcaaaca attcgcgaat cggcgtgaat acgcccgtgt aagttgcccg 540
 gttggagcgt ggcgtgcgtc caatcnggct ttggttaata tcaatacttt atcgaaaaat 600
 tecaaacett taatggaett gtaengngaa acetengeat tttetgeaen attaangegt 660
 nttgtgcaat anggaacaaa ntgtcgttaa tcagtgtaga atttacctta accggacacn 720
 congtgatgo aggtaaataa goocacggga atgtotaaat tgacgttttt caggttgtta 780
 coggaagege egaacaattt gageattttt ttettateaa gtgeggtaeg tttttteggt 840
                                                                    849
 atttcgatc
 <210> 2
 <211> 357
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
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 gatcactaag tigticaatc cittcgcttg ggaatcittg tctaaatacg gittatgttg 60
 cattgcgtta acgtctaaat cacctttaga cactgcagtg tttggcaagg cgtagtcatg 120
 aataaaacgt attctacgtc taagttgtat ttttcttttg ccactttcgc tgcgatttca 180
 gecaettggt gttccggtcc tgccatcacg cccaetttga ttgttgccgg ggettctgcc 240
  geeggtttgt etgeeggtge ggetteeggt tttttetett cattacaage eegttaagge 300
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<211> 886
<212> DNA
<213> Actinobacillus actinomycetemcomitans
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<223> N stands for any nucleotide.
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<222> (596)
<223> N stands for any nucleotide.
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gegegtegge aacggcatgg tagggegacg cegtggttta aaccaageca aageggaatg 120
gegettattt aagetaaaac accatettgg catteaggga tttttateeg ggetatteae 180
ttttgtcctg cgttccggtg ccagattatt gccgacatca ttactgaaaa acatctatca 240
aaccttttta agaaaataac atgatgaaat taaactgtat tttaaaaata tccggaattt 300
ccaccgcact ttttctagcg ggttgttcct caaattcaag tgcgccgacg caatcctctg 360
agcaggegaa ttetgttacg getgtgaate ceaetgeggt gtacagtaag eeeegcaett 420
tggataactt caacgattat gtgaatttct taaaaggtaa agcagcggca gaaggcgttt 480
ctgccgacgt attgaatgca caaaataata ttaattatat tcaaaaatcc gtggatttgg 540
acgatcaaca agenggeaga attegeaage gtgatceaaa tgeeeegeeg atcatnaatt 600
ccgaacggca cgaccaatta cttaaatcgt gtattaacca agaataaagt agacacggca 660
gaagcacgtt attgggaaca attgccgcag cttgaaaatg cttcaaagaa attcagcgta 720
ccgaaaaatt atctgttagc cttgtggggc atggagagta gctttggcta ttatcagggc 780
aattacgatg tgttatccac cttagccact cttgcttttg acggacgccg tgaagcctta 840
ttcagcaaag aattcatcgc cgccatgaaa atgctacagc gcgatc
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 <210> 4
 <211> 507
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
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 <222> (21)
 <223> N stands for any nucleotide.
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<223> N stands for any nucleotide.
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 <222> (58)
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 <222> (65)
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<223> N stands for any nucleotide.
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 <222> (467)
 <223> N stands for any nucleotide.
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 nngcnaggng cancagetta cetttgeega eggttenetg tntgaaageg ceattegeaa 120
 agtgccggtg gaggcggnga aaattcactc acttggtgcg gaaggcaatg atgtgggatt 180
 gaaagcccat catggcgggt ggataaagcg ttattttta tgtcggcaga tgcctttcct 240
 gcgttaaatg cgttattaga cgaaaatttt tcgtatcagg acacagcagt ttacggcgag 300
 aattttgtgg tttccgcgct gaatgaagat tccgtgtgtg tgggcgatat ttatcaaatc 360
 ggctcctgcg tggtggaggt gtcgcagccg cgtaaacctt gtgagcgctt atcgaaaaat 420
 accaataatc cgaacacgca acaaaccgtg tacgctncng ctggtcnggc tggtatgtgc 480
 cggtggtacc ccaaggggga aattcaa
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<210> 5 <211> 1087 <212> DNA

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gttgccaaac gtattgaact cagtctggcg cagcaggtct taaatggaga cgttttgcaa 120
ctgaacggtt cgcacagttg gtttgtggcg gacgcatcgg aagatttgac gcaactgcaa 180
caacgettgg cacaacggga tattttgett accgeacege ttateggega agaggacaaa 240
agtgcggtgg attttgagaa tgaaattttt gtcgcgcacc aagccttgtt ccatttgatg 300
eggcaagaac gcgtgaaagc cgcccgccgt ccgattttaa tgcaggcgca acagtttcaa 360
 tggcaatttg aaccgaacgg tttgcgcctt aaattttatt tgccggcagg cagttacgcc 420
 acggcgttgg tacgcgagct ggtgaatgtt gaaaactgaa aaacgagaag aaaaacagga 480
 ataacaagaa catgaatatt ttattaagta acgatgacgg cattcacgcg ccgggcattc 540
 gtgtgatggc agaacattgc gtaagattgc caatgtgacc atcgtcgcgc cggacagcaa 600
 ccgcaagcgc cgccttcagt tncttaacct tggtgaagcc gntgtattcc gttcatttgg 660
 naaagcggng attattgcgt caacngcacn cccggcggan tgcgtgcata ttgccctgac 720
 gggttttett teegggegea tegatttggt gattteegge ateaacgeeg gggegaacet 780
 gggcgatgat gtgctatatt ccggcacggt cgcggcagca tttgaagggc gtcatctggg 840
 ettgeegtet attgeggtat egetegatgg tegteaacat tttgaaacgg eggegeget 900
 ggtatgcgat ttggtgccga aattacacgc ccaattatta ggcaaacacg aaattctgaa 960
 tattaacgtg cccgatgtgc cttacgaaga actgaaaggc attaaagtgt gccatttggg 1020
 ctaccgttct tccgcttctg aagtgattaa acagcaaagc ccgcgtggcg aagacatgta 1080
                                                                    1087
 ttggatc
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<210> 6
<211> 681
<212> DNA
<213> Actinobacillus actinomycetemcomitans
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<222> (651)
<223> N stands for any nucleotide.
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<221> misc feature
<222> (665)
<223> N stands for any nucleotide.
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accggcattg ctgcagaacg cccacaagtg gcgtcggtat tcattaatcg gttaaaagcc 120
aaaatgaagc tgcaaaccga tccgaccgtc atttacggca tgggcgacga ctacaacggc 180
aatattcgca aaaaagattt ggaaacgcca acgccttata acacctatgt gattgacggc 240
ttgccgccga caccgattgc gatgccgagt gaagaggcgt tacaggcggt ggcacatccg 300
 gcgcaaacgg cgttttatta tttcgtggca gacggcacgg ggggacacaa attcagtcgt 360
 aatttaaacg aacataacaa agcggtgcag caatatttgc gctggtaccg cgaacaaaac 420
 ggaaaataat atggtaggca aatttattgt cattgaaggc ttggaaggcg caggcaaaag 480
 caccgctcat caatgcgttg tggatacgtt aaaaacgtta ggtgttgggg aagtcatctc 540
 taccegegag cegggeggea caccegttgg eggaaaaget acgecatete attaaacatg 600
 aaaaccaana geengtgaee egataaageg gaattaetea tgetgtatge ngeegeetge 660
                                                                    681
 aattngtggg aaaatgtgat c
 <210> 7
 <211> 822
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
 <220>
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 <222> (532)
 <223> N stands for any nucleotide.
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 <221> misc feature
 <222> (630)
 <223> N stands for any nucleotide.
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<220>
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<223> N stands for any nucleotide.
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<222> (725)
<223> N stands for any nucleotide.
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gtcgccaata ctgaatcgcg tagagcatgg ctaacgcaat catagcgcgt aaagtcggaa 120
tagcaagccc cgccagttgg ctgtataaca acgcaaaaat gaatccgcac agaatcggaa 180
atgtcgggct gatgtaacgc gtcggcaagg caaattgcag tagacgcgcc aaggtaaaac 240
ccagcatcat cgccagtccg atatgcagcc ctgaaatggc aattaaatgc gccgtatttg 300
ttttttgata aatttgccaa gttttttggt ctaagcggaa acgttcgcca aaaccgagtg 360
ccagcaacaa gccttgtcgg ggtaaattct ccgtttgttg taaggcttga ttgagagcgg 420
tttggcgtaa cgaaaaaacg ttttccaatt tgaccgcact tttaatctct gcccaagcgg 480
tgatgtgctt gccgaaatac catggctggc ggtcaaaacc gtcaaaattc angcgggaag 540
aaagcgctcg caagcgtaaa ttgcctgcgt aacgttcgcc cggggttgac tggttgcttg 600
agtttccatt gcgcgtaaat acgttgttcn gggaagattt tcggcgaagt tttggcgccg 660
aataacccag gggttggata atgctgctga tgccanaaat ttccttgacn ggtaaatttc 720
enggnggaac gggttttegg eggeagattg geaagattat eegeetgggt eagtatggaa 780
attgccgatt ggtggacgta agcggactga atcatcaaga tc
 <210> 8
 <211> 949
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
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 <222> (538)
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 ggcattgact gcttgtctgc gagaaccact gatgtcgatg cctttgttac cgccgtcggc 120
 gttagagaaa ccttgaatca cattgccgtt ggtcggccag cgccatgcca cgttggatac 180
 tgccggtgcg gtgcccgctt gggttatcgg ctgattggtt gccggtgcag cagtacctac 240
 gccggcttta atcgggccgg taatcgtgcc gtcggaacca tattgtgtgc cgtttgcgcc 300
 cggggtgtaa gttacggtcg gttcaccacc ttgcgtagcc ggttgggtga ccgtcggttg 360
 catttgcggt gcagctttcg tttgcaccgt aaccgttgtg ccgcggctca cctttaaggt 420
 ttgtccgacg cttaagctgt aaggttcgga catattattc aacgccgcca attctttcac 480
 atccaaacca gaaatgtagg cgataaggaa catggtgtca cctttgcgta cggtatangt 540
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ttcacctttg tagaaacctt tgttgatttg gctgtaatcc ggtgcgttag tggtcgggtt 600
acctggaatg gtgaaatctt gggatgcctg ttgcgggtga attttccccg gcaggttggg 660
tttgcttaac ccggttgtgc tttgcaatgc aaactgttga tacatcggtt gaaaaatcgg 720
ctgcggagta gattgtgcgc cggtcgcctg tagattgttc gactgggcaa tcggaccgtt 780
catcgaagcg ggtacattgc cttgttggat ttgcggttcc catgtgctat tgccgccatc 840
ggttgaaccg tccaccggtt gcatgagtcc cggggataag gtaccgtcgg cgttttccac 900
cggtgccggt gtattcgaag tacaggccgc taacacggca atgctgatc
                                                                949
<210> 9
<211> 277
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<213> Actinobacillus actinomycetemcomitans
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caaagtgggc gtgatggcag gaccggaaca ccaagtggct gaaatcgcag cgaaagtggc 120
aaaagaaaaa tacaacttag acgtagaata cgttttattc atgactacgc cttgccaaac 180
actgcagtgt ctaaaggtga tttagacgtt aacgcaatgc aacataaacc gtatttagac 240
                                                                 277
aaagattccc aagcgaaagg attgaacaac ttagtga
<210> 10
<211> 259
<212> DNA
<213> Actinobacillus actinomycetemcomitans
<400> 10
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gegegtegge aacggeatgg tagggegacg cegtggttta aaccaageca aageggaatg 120
gcgcttattt aagctaaaac accatcttgg cattcaggga tttttatccg ggctattcac 180
ttttgtcctg cgttccggtg ccagattatt gccgacatca ttactgaaaa acatctatca 240
                                                                 259
aaccttttta agaaaataa
 <210> 11
 <211> 459
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
 <400> 11
 gatcgcaaca agcgcagttt ctatatttcc gccgcccgca gtgagatttt caatttaatc 60
 gttgccaaac gtattgaact cagtctggcg cagcaggtct taaatggaga cgttttgcaa 120
 ctgaacggtt cgcacagttg gtttgtggcg gacgcatcgg aagatttgac gcaactgcaa 180
 agtgcggtgg attttgagaa tgaaattttt gtcgcgcacc aagccttgtt ccatttgatg 300
 cggcaagaac gcgtgaaagc cgcccgccgt ccgattttaa tgcaggcgca acagtttcaa 360
 tggcaatttg aaccgaacgg tttgcgcctt aaattttatt tgccggcagg cagttacgcc 420
 acggcgttgg tacgcgagct ggtgaatgtt gaaaactga
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 <211> 596
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
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<223> N stands for any nucleotide.
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<222> (209)
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gaacattgcg taagattgcc aatgtgacca tcgtcgcgcc ggacagcaac cgcaagcgcc 120
gccttcagtt ncttaacctt ggtgaagccg ntgtattccg ttcatttggn aaagcggnga 180
ttattgcgtc aacngcacnc ccggcggant gcgtgcatat tgccctgacg ggttttcttt 240
ccgggcgcat cgatttggtg atttccggca tcaacgccgg ggcgaacctg ggcgatgatg 300
tgctatattc cggcacggtc gcggcagcat ttgaagggcg tcatctgggc ttgccgtcta 360
ttgcggtatc gctcgatggt cgtcaacatt ttgaaacggc ggcgcgcgtg gtatgcgatt 420
tggtgccgaa attacacgcc caattattag gcaaacacga aattctgaat attaacgtgc 480
ccgatgtgcc ttacgaagaa ctgaaaggca ttaaagtgtg ccatttgggc taccgttctt 540
ccgcttctga agtgattaaa cagcaaagcc cgcgtggcga agacatgtat tggatc
 <210> 13
 <211> 429
 <212> DNA
 <213> Actinobacillus actinomycetemcomitans
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 accggcattg ctgcagaacg cccacaagtg gcgtcggtat tcattaatcg gttaaaagcc 120
 aaaatgaagc tgcaaaccga tccgaccgtc atttacggca tgggcgacga ctacaacggc 180
 aatattcgca aaaaagattt ggaaacgcca acgccttata acacctatgt gattgacggc 240
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ttgccgccga caccgattgc gatgccgagt gaagaggcgt tacaggcggt ggcacatccg 300
gegcaaacgg cgttttatta tttcgtggca gacggcacgg ggggacacaa attcagtcgt 360
aatttaaacg aacataacaa agcggtgcag caatatttgc gctggtaccg cgaacaaaac 420
                                                                   429
ggaaaataa
<210> 14
<211> 162
<212> DNA
<213> Actinobacillus actinomycetemcomitans
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caatgcgttg tggatacgtt aaaaacgtta ggtgttgggg aagtcatctc tacccgcgag 120
ecgggeggea caccegttgg eggaaaaget acgeeatete at
<210> 15
<211> 67
<212> PRT
<213> Actinobacillus actinomycetemcomitans
<400> 15
Glu Lys Lys Pro Glu Ala Ala Pro Ala Asp Lys Pro Ala Ala Glu Ala
                   5
Pro Ala Thr Ile Lys Val Gly Val Met Ala Gly Pro Glu His Gln Val
Ala Glu Ile Ala Ala Lys Val Ala Lys Glu Lys Tyr Asn Leu Asp Val
                                                   45
                              40
Glu Tyr Val Leu Phe Met Thr Thr Pro Cys Gln Thr Leu Gln Cys Leu
                          55
     50
 Lys Val Ile
  65
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 <211> 85
 <212> PRT
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 Ile Lys Leu Val Ala Gln Gly Gln Arg Val Ala Asn Leu Pro Asp Ile
 Leu Val Tyr Ala Arg Val Gly Asn Gly Met Val Gly Arg Arg Arg Gly
 Leu Asn Gln Ala Lys Ala Glu Trp Arg Leu Phe Lys Leu Lys His His
                               40
 Leu Gly Ile Gln Gly Phe Leu Ser Gly Leu Phe Thr Phe Val Leu Arg
      50
                           55
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Ser Gly Ala Arg Leu Leu Pro Thr Ser Leu Leu Lys Asn Ile Tyr Gln
Thr Phe Leu Arg Lys
<210> 17
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<212> PRT
<213> Actinobacillus actinomycetemcomitans
<400> 17
Asp Arg Asn Lys Arg Ser Phe Tyr Ile Ser Ala Ala Arg Ser Glu Ile
Phe Asn Leu Ile Val Ala Lys Arg Ile Glu Leu Ser Leu Ala Gln Gln
Val Leu Asn Gly Asp Val Leu Gln Leu Asn Gly Ser His Ser Trp Phe
Val Ala Asp Ala Ser Glu Asp Leu Thr Gln Leu Gln Gln Arg Leu Ala
Gln Arg Asp Ile Leu Leu Thr Ala Pro Leu Ile Gly Glu Glu Asp Lys
 65
Ser Ala Val Asp Phe Glu Asn Glu Ile Phe Val Ala His Gln Ala Leu
Phe His Leu Met Arg Gln Glu Arg Val Lys Ala Ala Arg Arg Pro Ile
                                                     110
            100
                                 105
Leu Met Gln Ala Gln Gln Phe Gln Trp Gln Phe Glu Pro Asn Gly Leu
                             120
        115
Arg Leu Lys Phe Tyr Leu Pro Ala Gly Ser Tyr Ala Thr Ala Leu Val
                         135
Arg Glu Leu Val Asn Val Glu Asn
                     150
145
<210> 18
<211> 198
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<213> Actinobacillus actinomycetemcomitans
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<220>
 <221> UNSURE
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<222> (50)

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<223> Xaa stands for any amino acid.
<220>
<221> UNSURE
<222> (59)
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<222> (66)
<223> Xaa stands for any amino acid.
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<222> (69)
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Met Asn Ile Leu Leu Ser Asn Asp Asp Gly Ile His Ala Pro Gly Ile
Arg Val Met Arg Thr Leu Arg Lys Ile Ala Asn Val Thr Ile Val Ala
             20
Pro Asp Ser Asn Arg Lys Arg Arg Leu Gln Xaa Leu Asn Leu Gly Glu
                              40
Ala Xaa Val Phe Arg Ser Phe Gly Lys Ala Xaa Ile Ile Ala Ser Thr
     50
Ala Xaa Pro Ala Xaa Cys Val His Ile Ala Leu Thr Gly Phe Leu Ser
Gly Arg Ile Asp Leu Val Ile Ser Gly Ile Asn Ala Gly Ala Asn Leu
Gly Asp Asp Val Leu Tyr Ser Gly Thr Val Ala Ala Ala Phe Glu Gly
                                 105
             100
Arg His Leu Gly Leu Pro Ser Ile Ala Val Ser Leu Asp Gly Arg Gln
                             120
His Phe Glu Thr Ala Ala Arg Val Val Cys Asp Leu Val Pro Lys Leu
                         135
    130
His Ala Gln Leu Leu Gly Lys His Glu Ile Leu Asn Ile Asn Val Pro
                                         155
145
Asp Val Pro Tyr Glu Glu Leu Lys Gly Ile Lys Val Cys His Leu Gly
                                     170
Tyr Arg Ser Ser Ala Ser Glu Val Ile Lys Gln Gln Ser Pro Arg Gly
                                                     190
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Val Phe Ile Asn Arg Leu Lys Ala Lys Met Lys Leu Gln Thr Asp Pro 35 40 45

Thr Val Ile Tyr Gly Met Gly Asp Asp Tyr Asn Gly Asn Ile Arg Lys
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Lys Asp Leu Glu Thr Pro Thr Pro Tyr Asn Thr Tyr Val Ile Asp Gly 65 70 75 80

Leu Pro Pro Thr Pro Ile Ala Met Pro Ser Glu Glu Ala Leu Gln Ala 85 90 95

Val Ala His Pro Ala Gln Thr Ala Phe Tyr Tyr Phe Val Ala Asp Gly
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Ser Thr Ala His Gln Cys Val Val Asp Thr Leu Lys Thr Leu Gly Val 20 25 30

Gly Glu Val Ile Ser Thr Arg Glu Pro Gly Gly Thr Pro Val Gly Gly

Lys Ala Thr Pro Ser His

50



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alternativa, Vognin 22313-1450 www.nspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/980,845

Ann Progulske-Fox

00-505-B

INTERNATIONAL APPLICATION NO.

PCT/US00/21340

LA. FILING DATE

PRIORITY DATE

08/04/2000

08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

CONFIRMATION NO. 3701 371 FORMALITIES LETTER

OC000000008256428

Date Mailed: 01/03/2005

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 11/15/2001
- Copy of the International Search Report filed on 11/15/2001
- Copy of IPE Report filed on 04/08/2002
- Information Disclosure Statements filed on 04/08/2002
- Biochemical Sequence Diskette filed on 04/08/2002
- Oath or Declaration filed on 04/08/2002
- Biochemical Sequence Listing filed on 04/08/2002
- Small Entity Statement filed on 11/15/2001
- Request for Immediate Examination filed on 11/15/2001
- U.S. Basic National Fees filed on 11/15/2001

DOCKETED

JAN 0 7 2005_

Copy of references cited in ISR filed on 11/15/2001

Applicant's response filed 04/08/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/28/2002 have not been completed.



Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

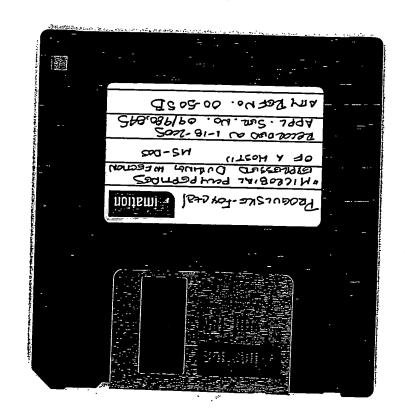
Telephone: (703).305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/980.845	PCT/US00/21340	00-505-B

FORM PCT/DO/EO/916 (371 Formalities Notice)

BEST AVAILABLE COPY



Patents and Trademarks Hon. Commissioner of

₽¥

LMWH/tmp

SN 09/980,845

Microbial Polynucleotides Expressed During Infection of a Host Re: Applicant Progulske-Fox

Case No.: 00-505-B

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

☒ Transmittal Letter (with Duplicate)
 ☒ Response to Notice of Defective Response
 ☒ Copy of Notification of Defective Response
 ☒ Paper and disk copy of correct sequence listing
 ☒ Return Receipt Postcard

Fee Enclosed \$0.00

February 3, 2005

Respectfully, McDonnell Boehnen Hulbert & Berghoff Attorney for Applicant

Patents and Trademarks Hon. Commissioner of

SN 09/980,845

Atty

LMWH/tmp

Case No.: 00-505-B

Re: Applicant Progulske-Fox

Microbial Polynucleotides Expressed During Infection of a Host

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

☒ Transmittal Letter (with Duplicate)
 ☒ Response to Notice of Defective Response
 ☒ Copy of Notification of Defective Response
 ☒ Paper and disk copy of correct sequence listing
 ☒ Return Receipt Postcard

A LANGE TO SERVICE TO

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

Fee Enclosed \$0.00

February 3, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Percent and Trademark Office Address COMMESSIGNER FOR PATENTS PARAMENT (Spring 1221), 1410 Academy (Spring 1221), 1410 We artisticate We are supplied We a

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY, DOCKET NO.
09/980,845	Ann Proguiske-Fox	00-505-B

INTERNATIONAL APPLICATION SO.

PCT/US00/21340

1 A FILING DATE PRIORITY DATE 08/04/2000 08/06/1999

Lisa M.W. Hillman McDonnell Boehnen Hulbert & Berghoff 300 S Wacker Drive Suite 3200 Chicago, IL 60606

CONFIRMATION NO. 3701

'CC000000018184385'

Date Mailed: 03/03/2006

NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

 Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 02/28/2002 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 3 - OFFICE COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/980,845A
Source:	IFMI
Date Processed by STIC:	1118/06
•	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS, PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-6221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkruote.htm

Applicants submitting genetic sequence information electronically on diskens or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Tredemark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
 Alexandria, VA 22314

Revised 01/10/06



IPW2

RAW SEQUENCE LISTING DATE: 01/18/2006
PATENT APPLICATION: 09/09/980,845A TIME: 15:36:00

Input Set : Ai\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRF4\01182006\1980845A.raw

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3 <110> APPLICANT: Handfield, Martin
             Brady, Jeannine
             Progulske-Fox, Amn
             Hillman, Jeffrey D.
     8 <120> TITLE OF INVENTION: Microbial Polynucleotides Expressed During Infection of
            a Host
    11 <130> FILE REPERENCE: MBHBCO-505B
C--> 13 <140> CURRENT APPLICATION NUMBER: US/09/960,845A
C--> 14 <141> CURRENT FILING DATE: 2001-11-15
    16 <150> PRIOR APPLICATION NUMBER: 60/147,551
    17 <151> PRIOR FILING DATE: 1999-08-06
    19 <150> PRIOR APPLICATION NUMBER: PCT/US00/21340
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    20 <151> PRIOR FILING DATE: 2000-08-04
                                                             Corrected Diskette Needed
     22 c160> NUMBER OF SEQ ID NOS: 20
     24 <170> SOFTWARE: PatentIn Ver. 2.1
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RAW SEQUENCE LISTING DATE: 01/18/2006 PATENT APPLICATION: US/09/980,845A TIME: 15:36:00

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Output Set: N:\CRF4\01182006\1980845A.raw

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    89 ccagtttcac ccgttgcgct tccccgccgg acagggtggt agaggattgc cccaagcgaa 180
    90 tataagacaa geceaegtea ateagggttt geaatttaeg egeaateatt ggaatggeat 240
     91 cgaaaaactc gegegeatet tecacegtea tgtecageac ctgatgaatg gttttacctt 300
     92 tgtagoggat ttocagggtt togogattgt aacgettgee tttacattgg tegenaggea 360
     93 egtacacate gggcaggaag tgcattteca etttgattac geegtegeer tggcaggett 420
     94 acagegoog cogegoacgt taaaactgaa acgcoccggg ttataaccgc gegoacgggc 480
     95 titeggtacg eeggeaaacm attegegaat eggegtgaat acgecegtgt aagttgeeeg 540
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RAW SEQUENCE LISTING DATE: 01/18/2006
PATENT APPLICATION: US/09/980,845A TIME: 15:36:00

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     89 ccagtttcac ccgttgcgct tccccgccgg acagggtggt agaggattgc cccaagcgaa 180
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Input Set: A:\seqlistcorrocted.2002.05.21.txt
Output Set: H:\CRP4\01182006\T9B0845A.raw

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     145 gaageacgtt attgggaaca attgeegeag ottgaaaatg etteanagaa atteagegta 720
     146 cogaaaaatt atotyttago ottytyyyyo atygagagta gotttyyota ttatoagyyo 780
     147 aattacgatg tgttatccac cttagccack cttgcttttg acggacgccg tgaagcctta 840
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PATENT APPLICATION: US/09/980,845A DATE: 01/18/2006

TIME: 15:36:00

Input Set: A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRP4\01182006\1980845A.raw

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Input Set : A:\seqlistcorrected.2002.06.21.txt Output Set: N:\CRF4\01182006\1980845A.rav 241 <220> FEATURE: 242 <221> NAME/KEY: misc_feature 243 <222> LOCATION: (97) 244 <223> OTHER INFORMATION: N stands for any nucleotide. 246 <220> FEATURE: 247 <221> NAME/KEY: misc feature 248 <222> LOCATION: (102) 249 <223> OTHER INFORMATION: N stands for any nucleotide. 251 <220> FEATURE: 252 <221> NAME/KEY: misc feature 253 <222> LOCATION: (138) 254 <223> OTHER INFORMATION: N stands for any nucleotide. 256 <220> PEATURE: 257 <221> NAME/KEY: mioc_feature 258 <222> LOCATION: (457) 259 <223> OTHER INFORMATION: N stands for any nucleotide. 261 <220> FEATURE: 262 c221> NAME/KRY: misc_feature 263 <222> LOCATION: (459) 264 <223> OTHER INFORMATION: M stands for any nucleotide. 266 <220> FEATURE: 267 <221> NAME/KEY: mist_feature 268 <222> LOCATION: (467) 269 <223> OTHER INFORMATION: N stands for any nucleotide. 271 <400> SEQUENCE: 4 W--> 272 ttgatacent ageogetgae nanaactane angenntgaa taatategas taattaanat 60 273 nngchaggng cancagetta cetttgeega eggttenotg thigaaageg ceattegeaa 120 274 agtgccggtg gaggcggnga abattcactc acttggtgcg gaaggcaatg atgtgggatt 180 275 gaaagcccat catggcgggt ggataaagcg ttattttta tgtcggcaga tgcctttcct 240 276 gcgttaaatg cgttattaga cgaaaatttt tcgtatcagg acacageagt ttacggcgag 300 277 aattttgtgg tttccgcgct gaatgaagat tccgtgtgtg tgggcgatat ttatcaaatc 360 278 ggctcctgcg tggtggaggt gtcgcagccg cgtaaacctt gtgagcgctt atcgaaaaat 420 279 accaataato egaacaegca acaaacegtg taegetneng etggtengge tggtatgtge 480 280 cggtggtacc ccaaggggga aattcaa 263 <210> SEQ ID NO: 5 284 <211> LENGTH: 1087 285 <212> TYPE: DNA 286 <213> ORGANISM: Actinobacillus actinomycetemcomitans 289 <220> YEATURE: 289 <221> NAME/KEY: misc_feature 290 <222> LOCATION: (622) 291 <2235 OTHER INFORMATION: N stands for any nucleotide. 293 <220> PEATURE: 294 <221> NAME/KEY: misc_feature 295 <222> LOCATION: (642) 296 <223> OTHER INFORMATION: N stands for any nucleotide. 298 <220> PEATURE:

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/980,845A

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DATE: 01/18/2006

TIME: 15:36:00

300 <222> LOCATION: (661)

299 <221> NAME/KEY: misc feature /

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 01/18/2006
PATENT APPLICATION: US/09/980.845A TIME: 15:36:01

Input Set: A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRP4\01182006\1980845A.rew

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; N Pos. 566,625,627,636,650,656,661,672,601,720,723
Seq#:3; N Pos. 554,596
Seq#:4; N Pos. 4,6,21,23,29,32,35,36,39,42,45,49,52,53,61,62,65,69,73,97
Seq#:4; N Pos. 102,138,457,459,467
Seq#:5; N Pos. 572,662,661,669,685,690,700
Seq#:5; N Pos. 609,614,651,665
Seq#:7; N Pos. 532,630,696,710,722,725
Seq#:8; N Pos. 532,630,696,710,722,725
Seq#:12; N Pos. 131,151,170,178,194,199,209
Seq#:18; Xaa Pos. 43,50,59,66,69

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VERIFICATION SUMMARY DATE: 01/18/2006 PATENT APPLICATION: US/09/980,845A TIME: 15:36:01

Input Set : A:\seqlistcorrected.2002.06.21.txt
Output Set: N:\CRF4\01182006\1980845A.raw

L:13 M:270 C: Current Application Number differs, Replaced Application Number L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:96 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:540 M:341 Repeated in SeqNo=1 L:143 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:540 L:272 M:341 W: [46] "n" or "Xaa" used, for SEQ ID#:4 after pos.:0 M:341 Repeated in SeqNo=4 L:334 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:600 M:341 Repeated in SeqNo=5 L:381 M:341 W: (46) *n* or *Xaa* used, for SEQ ID#:6 after pos.:600 M:341 Repeated in SeqNo=6 L:429 M:341 W: {46} "n" or "Xaa" used, for SEQ IDE:7 after pos.:480 M:341 Repeated in SeqNo=7 L:456 M:341 W: (46) "n" or "Xaa" uged, for SEQ ID#:8 after pos.:480 L:551 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 after pos.:120 M:341 Repeated in SegNo-12 L:709 K:341 W: (46) "r" or "Xma" used, for SEQ ID#:18 after pos.:32 M:341 Repeated in Section18





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